HARVEY

HARVEY INVESTMENT MANAGEMENT, INC.

Harvey Investment Management, Inc. is a financial advisory practice dedicated to serving sophisticated, affluent families in a fiduciary relationship. Both our dedication to transparency and our client-centric practices set us apart.

OUR TEAM

Brad Harvey, JD, CFP®, CIMA®

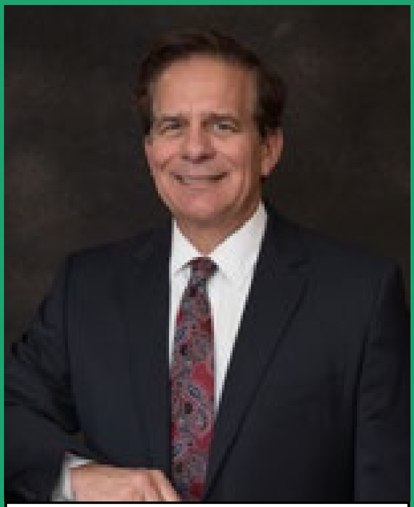
President & CEO

Brad leads the team; responsible for overall investment management of client assets and managing all complex asset allocations. Brad created a sophisticated, proprietary process which helps our affluent clients achieve their goals.

Greg Burks

Wealth Consultant

Greg has worked in both institutional and private client markets for over 20 years. With his background in college officiating, he enjoys helping individuals in sports management with their financial affairs.



Stuart Hutchins, CRC®

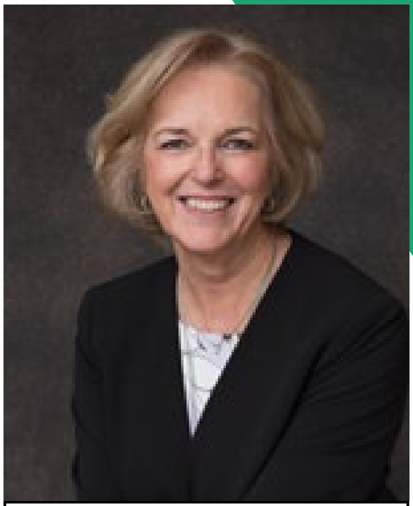
Senior Strategist

Stuart has been in the financial industry for over 30 years and enjoys assisting investors with wealth management. He is also responsible for business development and managing client relationships.

Jack York

Senior Associate

Jack York, in addition to managing his own financial practice of York Asset Management, also brings over 30 years of financial experience to the team.



Cindy Austin

Client Relations Director

Cindy brings more than 30 years of securities industry experience to the team and is responsible for the overall client experience. She also creates, plans and hosts our client events, including our women's seminars.

Rob Forest

Senior Client Associate

Rob works closely with our clients to ensure that they receive quality account servicing. He has been in the financial services industry for over 15 years and holds several securities licenses.

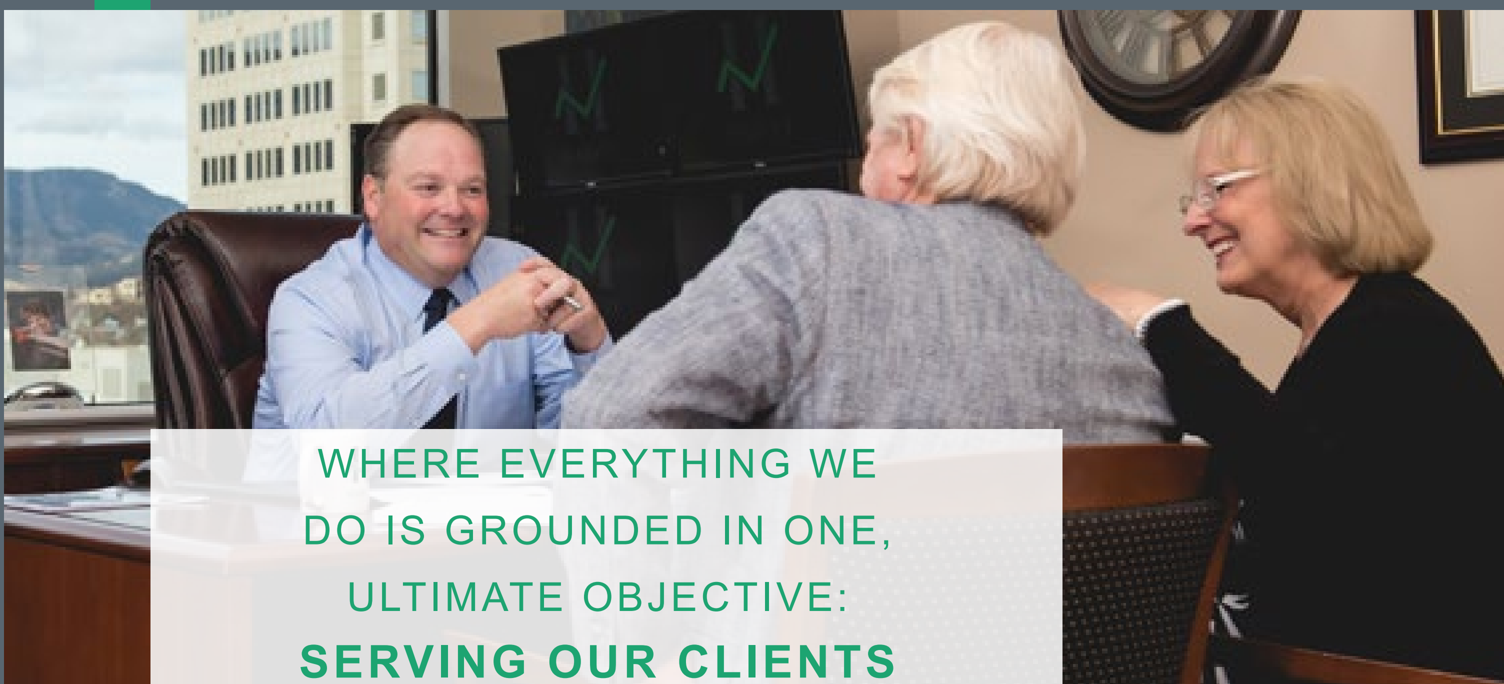
HIM CLIENT ADVANTAGES

BENEFITS OF CHOICE

We want to provide our clients with more choices regarding financial products and services deployed on their behalf. We are unlike other financial firms - we do not manufacture or sell proprietary financial products. Our advisors are not subject to financial profit targets set by a wirehouse or financial firm. All vendors and strategic partners must compete for our advisors' and ultimately, their clients' business. Because of this key difference, our advisors can develop a personalized mix of products and solutions for each client's investment strategy.

SERVICE & SUPPORT

At Harvey Investment Management, Inc., we understand that our clients' financial goals are as unique and varied as their personalities. To adequately serve our clients with accurate, personalized investment advice, we first discern their financial needs and goals, then take that into consideration when devising an appropriate investment strategy. We understand that our clients' needs change and communicate frequently to ensure we are making necessary changes to their portfolios given the new financial information.



WHERE EVERYTHING WE DO IS GROUNDED IN ONE, ULTIMATE OBJECTIVE:
SERVING OUR CLIENTS

TECHNOLOGY & REPORTING

Our advisors leverage secure, high-quality performance reporting tools to build customized wealth management and investment reports for each client. HighTower Advisors serves as our platform provider and broker-dealer. In addition, they provide business support services, such as compliance and technology. Their Client Portal is available to clients for accessing account information, a consolidated view of their comprehensive wealth, as well as several financial planning tools. This portal employs industry-leading security practices and protocols to protect and secure client data.

SECURELY HELD ASSETS

Our client assets are held by strong, third-party custodians such as Charles Schwab Institutional and Fidelity Investments. We work with these reliable, secure and independent firms because of their rigorous due diligence and exacting standards of operational excellence, security and service. Our advisors select the custodian based on their clients' individual wealth management needs, goals and interests.

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